

# 1 Simplicial complexes and Face ideals

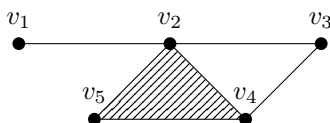
Let  $G$  be a graph with vertex set  $V = \{v_1, \dots, v_d\}$ .

**Remark.** The previous section gave a method for computing  $m$ -irreducible decompositions for quadratic square-free monomial ideals. The next section introduces some tools to accomplish this for arbitrary square-free monomial ideals. This uses the notation of a simplicial complex. One often thinks of this as a higher dimensional graph, not only does it have vertices and edges, but it also can have shaded triangles, solid tetrahedra.

**Definition 1.1.** A *simplicial complex*  $\Delta$  is a non-empty collection of subsets of  $V$  that is closed under subsets, that is, if  $F \subseteq G \subseteq V$  and  $G \in \Delta$ , then  $F \in \Delta$ .

- (a) An element of  $\Delta$  is called a *face*.
- (b) An element of the form  $\{v_i\}$  is called a *vertex* of  $\Delta$ .
- (c) An element of the form  $\{v_j, v_k\}$  is called an *edge* of  $\Delta$ .
- (d) A maximal element of  $\Delta$  with respect to containment is a *facet* of  $\Delta$ .

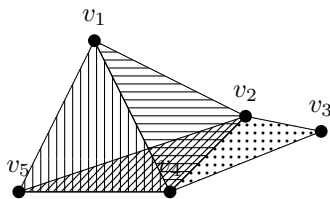
**Example 1.2.** Consider



This is the simplicial complex with the following faces:

- trivial:  $\emptyset$ .
- vertices:  $\{v_1\}, \{v_2\}, \{v_3\}, \{v_4\}, \{v_5\}$ .
- edges:  $\{v_1, v_2\}, \{v_2, v_3\}, \{v_2, v_4\}, \{v_2, v_5\}, \{v_3, v_4\}, \{v_4, v_5\}$ .
- shaded triangle:  $\{v_2, v_4, v_5\}$ .
- facets:  $\{v_1, v_2\}, \{v_2, v_3\}, \{v_3, v_4\}, \{v_2, v_4, v_5\}$ .

**Example 1.3.** Consider



This is the simplicial complex with the following faces:

- trivial:  $\emptyset$ .

- vertices:  $\{v_1\}, \{v_2\}, \{v_3\}, \{v_4\}, \{v_5\}$ .
- edges:  $\{v_1, v_2\}, \{v_1, v_4\}, \{v_1, v_5\}, \{v_2, v_3\}, \{v_2, v_4\}, \{v_2, v_5\}, \{v_3, v_4\}, \{v_4, v_5\}$ .
- shaded triangle:  $\{v_1, v_2, v_4\}, \{v_1, v_2, v_5\}, \{v_1, v_4, v_5\}, \{v_2, v_3, v_4\}, \{v_2, v_4, v_5\}$ .
- solid tetrahedron:  $\{v_1, v_2, v_4, v_5\}$ .
- facets:  $\{v_2, v_3, v_4\}, \{v_1, v_2, v_4, v_5\}$ .

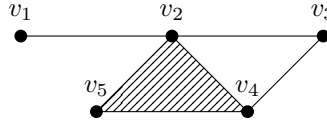
Let  $\Delta$  be a simplicial complex on  $V$ .

**Definition 1.4.** The *face ideal* of  $R$  associated to  $\Delta$  is the ideal generated by the non-faces of  $\Delta$ :

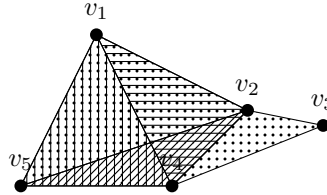
$$J_\Delta = (X_{i_1} \cdots X_{i_s} \mid 1 \leq i_1 < \cdots < i_s \leq d \text{ and } \{v_{i_1}, \dots, v_{i_s}\} \notin \Delta).$$

**Example 1.5.**

$$J_\Delta = (X_1 X_3, X_1 X_4, X_1 X_5, X_2 X_3 X_4) = (X_1, X_3) \cap (X_1, X_2, X_5) \cap (X_1, X_4, X_5) \cap (X_3, X_4, X_5).$$



**Example 1.6.**  $J_\Delta = (X_1 X_3, X_3 X_5)R = (X_3) \cap (X_1, X_5)$ .



**Definition 1.7.**  $F \subseteq V$  is *independent* in  $G$  if none of the vertices in  $F$  are adjacent in  $G$ .

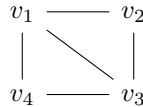
An independent set is *maximal* if it is maximal respect to containment.

Let  $\Delta_G$  denote the set of independent subsets of  $G$ . This is the *independence complex* of  $G$ .

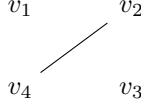
**Remark.** Every singleton  $\{v_i\} \subseteq V$  is independent in  $H$ , as is the empty set  $\emptyset \subseteq V$ .

Since every subset of an independent set in  $G$  is also independent in  $G$ ,  $\Delta_G$  is a simplicial complex on  $V$ .

**Example 1.8.** Consider



Then  $\Delta_G = \{\emptyset, \{v_1\}, \{v_2\}, \{v_3\}, \{v_4\}, \{v_2, v_4\}\}$ . That is, the geometric realization of  $\Delta$  is as follows:



The maximal independent subsets in  $G$  are  $\{v_1\}, \{v_3\}$  and  $\{v_2, v_4\}$ .

**Remark.** The next result shows that the faces of  $\Delta_G$  are in bijection with vertex covers of  $G$ , and the facets of  $\Delta_G$  are in bijection with the minimal vertex covers of  $G$ .

**Lemma 1.9.** (a)  $F \subseteq V$  is independent if and only if  $V \setminus F$  is a vertex cover of  $G$ .

(b) An independent  $F \subseteq V$  is maximal if and only if  $V \setminus F$  is minimum as a vertex cover.

**Remark.** A minimal vertex cover is complementary to a maximal independent set.

**Theorem 1.10.**  $I(G) = J_{\Delta_G}$ .

*Proof.* “ $\subseteq$ ”. Let  $X_i X_j$  be a generator of  $I(G)$  given by the edge  $v_i v_j \in E$ . Then  $\{v_i, v_j\}$  is not independent in  $G$ . So  $X_i X_j \in J_{\Delta_G}$ .

“ $\supseteq$ ”. Let  $X_{i_1} \cdots X_{i_n}$  be one of the generators of  $J_{\Delta_G}$  given by the non-face  $\{v_{i_1}, \dots, v_{i_n}\} \notin \Delta_G$ . Then  $\{v_{i_1}, \dots, v_{i_n}\}$  is not independent in  $G$ . So it must contain a pair of adjacent vertices  $v_{i_k}, v_{i_m}$ . It follows that  $X_{i_k} X_{i_m}$  is a generator of  $I_G$ . Thus,  $X_{i_1} \cdots X_{i_n} \in (X_{i_k} X_{i_m})R \subseteq I(G)$ .  $\square$

**Example 1.11.** Continue on previous example and note the non-faces of  $\Delta_G$  are

$$\begin{aligned} &\{v_1, v_2\}, \{v_1, v_3\}, \{v_1, v_4\}, \{v_2, v_3\}, \{v_3, v_4\}, \{v_1, v_2, v_3\}, \\ &\{v_1, v_2, v_4\}, \{v_1, v_3, v_4\}, \{v_2, v_3, v_4\}, \{v_1, v_2, v_3, v_4\}. \end{aligned}$$

After removing redundancies, we see

$$J_{\Delta_G} = (X_1 X_2, X_1 X_3, X_1 X_4, X_2 X_3, X_3 X_4)R = I_G.$$

**Definition 1.12.** The *dimension* of a face  $F \in \Delta$  is  $|F| - 1$ . The *dimension* of  $\Delta$ , denoted  $\dim(\Delta)$  is the maximal dimension of a face  $\Delta$ .

The simplicial complex  $\Delta$  is pure if all facets of  $\Delta$  have the same dimension.

For  $i = -1, \dots, \dim(\Delta)$ , let

$$f_i(\Delta) := \text{the number of elements of } \Delta \text{ of dimension } i.$$

The  $f$ -vector of  $\Delta$  is the vector

$$f(\Delta) := (f_0(\Delta), f_1(\Delta), \dots, f_{\dim(\Delta)}(\Delta)).$$

## 2 Decomposition of face ideals

Let  $\Delta$  be a simplicial complex on  $V$ .

**Definition 2.1.** Let  $F \subseteq V$ , define

$$Q_F = P_{V \setminus F} = \langle X_i \mid v_i \notin F \rangle.$$

**Example 2.2.** Let  $d = 5$ , then  $Q_{\{v_2, v_3, v_4\}} = \langle x_1, x_5 \rangle$ ,  $Q_V = \langle \emptyset \rangle = 0$  and  $Q_\emptyset = \mathfrak{X} := \langle X_1, \dots, X_5 \rangle$ .

**Remark (Facts).** (a) Let  $F_1, F_2 \subseteq V$ , then  $Q_{F_1} \subseteq Q_{F_2}$  if and only if  $F_2 \subseteq F_1$ .

(b)  $J \lesssim_m R$  is square-free if and only if there exist  $F_1, \dots, F_n \subseteq V$  such that  $J = \bigcap_{i=1}^n Q_{F_i}$ .

**Lemma 2.3.** Let  $F \subseteq V$ , then  $J_\Delta \subseteq Q_F$  if and only if  $F \in \Delta$ .

*Proof.* Let  $F = \{v_{i_1}, \dots, v_{i_n}\} \subseteq V$  and  $V \setminus F = \{v_{j_1}, \dots, v_{j_p}\}$ .

$\implies$  Assume  $J_\Delta \subseteq Q_F$ . Suppose  $F \notin \Delta$ , then by definition  $X_{i_1} \cdots X_{i_n} \in J_\Delta \subseteq Q_F = \langle X_{j_1}, \dots, X_{j_p} \rangle$ . So there exists  $q \in \{1, \dots, p\}$  such that  $X_{j_q} \mid X_{i_1} \cdots X_{i_n}$ . Then there exists  $l \in \{1, \dots, n\}$  such that  $j_q = i_l$ , a contradiction.

$\impliedby$  Assume  $F \in \Delta$ . Let  $X_{r_1} \cdots X_{r_q} \in J_\Delta$  be a generator. Then  $V' = \{v_{r_1}, \dots, v_{r_q}\} \notin \Delta$ . Since  $F \in \Delta$ ,  $V' \not\subseteq F$ . So there exists  $s \in \{1, \dots, q\}$  such that  $v_{r_s} \in V' \setminus F$ , i.e.,  $X_{r_s} \in Q_F$ . Thus,  $X_{r_1} \cdots X_{r_q} \in (X_{r_s}) \subseteq Q_F$ .  $\square$

**Theorem 2.4.**  $J_\Delta = \bigcap_{F \in \Delta} Q_F = \bigcap_{F \text{ facet of } \Delta} Q_F$ .

*Proof.* Both " $\subseteq$ " are trivial. Since every face  $F \in \Delta$  is contained in a facet  $F'$ ,  $Q_F \supseteq Q_{F'}$ . Removing redundancies from  $\bigcap_{F \in \Delta} Q_F$ , we get  $\bigcap_{F \text{ facet of } \Delta} Q_F$ . Next, since  $J_\Delta$  is square-free, there are  $F_1, \dots, F_n \subseteq V$  such that  $J_\Delta = \bigcap_{i=1}^n Q_{F_i} \subseteq Q_{F_i}$  for  $i = 1, \dots, n$ . By previous lemma,  $F_i \in \Delta$  for  $i = 1, \dots, n$ . So  $\{F_1, \dots, F_n\} \subseteq \Delta$ . Thus,  $\bigcap_{F \in \Delta} Q_F \subseteq \bigcap_{i=1}^n Q_{F_i} = J_\Delta$ .  $\square$

**Lemma 2.5.** Let  $V' \subseteq V$ . Then  $I_G \subseteq Q_{V'}$  if and only if  $V'$  is independent.

*Proof.*  $\implies$  Assume  $I_G \subseteq Q_{V'}$ . Suppose there exist  $v_i, v_j \in V'$  such that  $v_i \sim v_j$ . Then we have  $X_i X_j \in I(G) \subseteq Q_{V'} = \langle X_k \mid v_k \notin V' \rangle$ . So  $X_k \mid X_i X_j$  for some  $v_k \notin V'$ . Hence  $k = i$  or  $k = j$ . Thus,  $V' \not\supseteq v_k = v_i \in V'$  or  $V' \not\supseteq v_k = v_j \in V'$ , a contradiction.

$\implies$  Assume  $V'$  is independent. Let  $X_i X_j$  be a generator of  $I_G$  for some  $i, j \in \{1, \dots, d\}$ . Then  $v_i \sim v_j$ . So  $v_i \notin V'$  or  $v_j \notin V'$ . Then  $v_i \in V \setminus V'$  or  $v_j \in V \setminus V'$ . So  $X_i \in Q_{V'}$  or  $X_j \in Q_{V'}$ . Thus,  $X_i X_j \in Q_{V'}$ .  $\square$

**Theorem 2.6.**  $I(G) = \bigcap_{V' \text{ indep.}} Q_{V'} = \bigcap_{V' \text{ max. independ.}} Q_{V'}$ . These are  $m$ -irreducible decompositions and the second one is irredundant.

*Proof.* It follows from the  $I(G) = J_{\Delta_G}$  the definition of  $\Delta_G$ .  $\square$

### 3 Facet ideal and their decompositions

Let  $\Delta$  be a simplicial complex on  $V$ .

**Definition 3.1.** The *facet ideal* of  $R$  associated to  $\Delta$  is the ideal generated by the facets of  $\Delta$ :

$$K_\Delta = (X_{i_1} \cdots X_{i_s} : 1 \leq i_1 < \cdots < i_s \leq d \text{ and } \{v_{i_1}, \dots, v_{i_s}\} \text{ is a facet in } \Delta).$$

**Remark.** The facet ideal  $K_\Delta$  is square-free. Moreover, since the facets of  $\Delta$  are incomparable with respect to containment, they generate  $K_\Delta$  irredundantly.

**Definition 3.2.** A *vertex cover* of  $\Delta$  is a subset  $V' \subseteq V$  such that for any facet  $F \in \Delta$ ,  $F \cap V' \neq \emptyset$ .

A vertex cover of  $\Delta$  is *minimal* if it does not properly contain another vertex cover of  $\Delta$ .

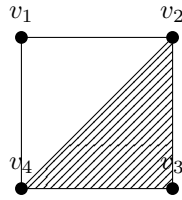
**Lemma 3.3.** Let  $V' \subseteq V$ . Then  $K_\Delta \subseteq P_{V'}$  if and only if  $V'$  is a vertex cover of  $\Delta$ .

*Proof.* As for edge ideals. □

**Theorem 3.4.**  $K_\Delta = \bigcap_{V' \text{ v.cover of } \Delta} P_{V'} = \bigcap_{V' \text{ min. v.cover of } \Delta} P_{V'}$ . These are  $m$ -irreducible decompositions and the second one is irredundant.

*Proof.* As for edge ideal and use previous theorem. □

**Example 3.5.** Let  $J = \langle X_1X_2, X_2X_3X_4, X_1X_4 \rangle$ . Find a simplicial complex  $\Delta$  on  $V$  such that  $J = K_\Delta$ . The geometric realization of  $\Delta$  is the following.



Next, we list the minimal vertex cover of  $\Delta$ :  $\{v_1, v_2\}$ ,  $\{v_1, v_3\}$ ,  $\{v_1, v_4\}$ ,  $\{v_2, v_4\}$ . Then by previous theorem,  $J = K_\Delta = \langle X_1, X_2 \rangle \cap \langle X_1, X_3 \rangle \cap \langle X_1, X_4 \rangle \cap \langle X_2, X_4 \rangle$ .